**ALABAMA TRANSPORTATION REHABILITATION AND IMPROVEMENT PROGRAM - II**

Transportation projects of local interest on the state-maintained highway system, which may also include work on local roads essential to proper functioning of the project on the state road created by the Rebuild Alabama Act 2019.

**ELIGIBILITY:**

Any local public agency, county or municipality, is eligible to submit an application. A sponsoring local government agency may not submit more than one project application per fiscal year. Once selected, a project must be awarded to contract before the local sponsor/sponsors become eligible for consideration by the ATRIP-II Committee for a subsequent project. Applications may be submitted jointly for projects of interest to more than one local government, and this shall be deemed as the eligible project for each sponsoring agency.

**APPLICATION DEADLINE: November 19, 2021**

Applications must be submitted electronically to: atrip2app@dot.state.al.us
(See "Application" file for details)

Applications will be accepted and reviewed by ALDOT for compliance with program requirements and guidelines. Projects will be awarded through a competitive section process by the ATRIP-II Committee.

**PROGRAM’S ANNUAL FUNDING LEVEL: $40M**

The total ATRIP-II funds to be allocated in FY 2022 is programmed to be $40,000,000, with a maximum funding of $2,000,000 to an individual project. Any cost exceeding the maximum amount allowable per project will be the responsibility of the project sponsor. Local or other funding sources to supplement ATRIP-II funds is not required, but projects containing a commitment to provide supplemental funding may be given favorable consideration by the ATRIP-II Committee. ATRIP-II funds are available for selected projects for a period of two (2) years from the notice of award letter.

**ALLOWABLE WORK PHASES:**

Preliminary Engineering, Right-of-way Acquisition, and Construction are all eligible phases of work. Utility relocation is not an eligible phase of work for ATRIP-II funding and is the responsibility of the sponsor. Eligibility and constructability of all proposed project activities and work phases will be evaluated by ALDOT prior to final consideration by the ATRIP-II Committee for funding. ATRIP-II funded projects will be bid and let to contract by ALDOT in accordance with applicable state laws.

**MORE INFORMATION:**

https://www.dot.state.al.us/programs/ATRIPII.html
This document contains information regarding the Alabama Transportation Rehabilitation and Improvement Program-II (ATRIP-II) for Fiscal Year (FY) 2022. All prospective project-sponsoring local governmental agencies are encouraged to thoroughly review this document, as well as, the FY 2022 ATRIP-II application when considering developing a project application. It is also highly recommended that prospective project sponsors coordinate with their respective Alabama Department of Transportation (ALDOT) Region or Area office prior to finalizing an application. These ALDOT offices will work with sponsors to evaluate scope, cost or other issues which may impact project deliverability or advancement.

**A. ELIGIBLE ENTITIES:**

Any local public agency of the State of Alabama (Municipal and County Governments) is eligible to apply for funding under ATRIP-II through an application process. Applications may be submitted jointly for projects of interest to more than one local government.

Applications will be accepted and reviewed by ALDOT for compliance with program requirements and guidelines prior to final identification by the ATRIP-II Committee for project funding.

**B. PROJECT ELIGIBILITY:**

Applications may be submitted for transportation projects of local interest on the state-maintained highway system, which may also include work on local roads essential to proper functioning of the project on the state road. Projects of local interest on the state-maintained highway system shall include improvements benefitting the state highway system with an emphasis on the economic growth, public safety, and stability of this state. Inclusion of local roads and bridges in a project application should be limited to those portions and specific structured that are essential to accomplish improvements benefitting the state highway system. Should a local road or bridge be included in the application, the project sponsor shall be responsible for sufficiently detailing how the improvements to the local infrastructure are essential to providing a benefit to the state highway system. Applications including local infrastructure that do not provide this type of analysis will not be considered for funding.

ATRIP-II funded projects will be bid and let to contract by ALDOT in accordance with applicable state laws. Disadvantaged Business Enterprise (DBE) goals will be established and applied consistent with existing procedures used for federally-funded projects.

Eligibility and constructability of all proposed project activities and work phases will be evaluated by ALDOT prior to final consideration by the ATRIP-II Committee for funding.
C. COMPETITIVE SELECTION:

ATRIP-II funds will be awarded through a competitive project selection process. Application evaluation will include, but may not be limited to, how the proposed project emphasizes economic growth, public safety, and stability of the State.

Project deliverability issues including, but not limited to, design, environmental, right-of-way acquisition, cost or other factors that could affect or impede project timeframe and advancement consistent with program goals will be considered during application evaluation.

When provided, the ATRIP-II Committee will appraise and consider coordination by local government sponsors that reflect racial and ethnic diversity.

D. PROJECT FUNDING:

ATRIP-II is an annual program providing not less than $30,000,000 and not more than $50,000,000 of project funding, with the final amount each year determined by the Director of ALDOT.

The maximum funding to be provided to an individual project under ATRIP-II in FY 2022 shall be $2,000,000. The Total ATRIP-II funds to be allocated in FY 2022 is programmed to be $40,000,000.

Any cost exceeding the maximum amount allowable per project will be the responsibility of the project sponsor and should be shown as local or other funds on the application.

A sponsoring local governmental agency may not submit more than one project application per fiscal year. Each Municipality and County will be viewed as an individual sponsor. Any project submitted by multiple sponsors shall be deemed as the eligible project for each sponsor.

Local or other funding sources to supplement requested ATRIP-II funding is not required, but projects containing a commitment to provide supplemental funding may be given favorable consideration by the ATRIP-II Committee.

Any overrun in total project costs above the amount awarded shall be the responsibility of the sponsoring local government agency unless additional funding is approved by the ATRIP-II Committee (or their designee).

E. PROJECT PROGRESS:

ATRIP-II funds are available to selected projects for a period of two (2) years from the notice of award by the ATRIP-II Committee. Funds awarded and not let to contract within two (2) years of the notice of award will require an extension by the ATRIP-II Committee (or their designee) to avoid being subject to rescission.

Once selected, a project must be awarded to contract before the local sponsor/sponsors become eligible for consideration by the ATRIP-II Committee for a subsequent project.
F. ALLOWABLE COSTS AND WORK PHASES:

All costs associated with developing an ATRIP-II application, including any requests for additional information from the ATRIP-II Committee or ALDOT necessary to fully review and consider that application, is the responsibility of the project sponsor/sponsors.

All phases of ATRIP-II projects will be performed or overseen by ALDOT. At the discretion of ALDOT, it may be permissible for a local sponsor to address a work phase at no cost to the project.

Right-of-way (ROW) acquisition may be an eligible phase of work for ATRIP-II funding, unless there is an agreement with ALDOT for the local sponsor/sponsors to acquire the ROW at no cost to the project. Applications should indicate if the local sponsor/sponsors are offering to fund ROW costs. When possible, applications should indicate if ROW is required on state and/or locally maintained facility. If ROW acquisition involves easements/permitted work on the property of another entity, indication should be noted on the application.

For projects where ROW is to be acquired on a state-maintained facility, ROW will be purchased by ALDOT in the name of the State. For ROW to be acquired on a local-maintained facility, purchasing of ROW will be coordinated through the respective ALDOT Region or Area office in cooperation with the project sponsor.

Utility relocation is not an eligible phase of work for ATRIP-II funding.

Preliminary Engineering (PE) shall be administered by ALDOT as an eligible phase of work for ATRIP-II funding, unless there is an agreement with ALDOT for the local sponsor/sponsors to advance the PE at no cost to the project. If ALDOT is to perform the PE, the estimated PE cost must be included in the application as an eligible cost to the project. If the sponsor is to perform the PE at no cost to the project, that must be indicated in the application. The PE should include, but is not limited to, items such as locating, surveying, mapping, environmental (including permitting and mitigation), development of engineering plans and specifications, and the bidding and letting of a project to contract.

a. The ATRIP-II application requires the identification of any potential impacts to cultural or environmental resources by the project, as well as any environmental permitting/mitigation requirements. Costs associated with the identification of impacts to cultural or environmental resources and the cost of permitting and/or mitigation should be included in the application unless the local sponsor agrees to provide separate funding for these activities.

b. Costs associated with any potential PE phase not identified and included in the application may not be eligible for reimbursement.

For projects where sponsors are proposing to advance the PE at no cost to the project, applications must include a funding line item for PE review by ALDOT (also at no cost to the project). The PE review cost should not exceed 2% of the overall project cost, up to a maximum of $20,000.00.

The cost of construction engineering and inspection (CE&I) (including the testing and inspection of materials) shall be included in the application. To ensure that these costs are accounted for, a separate
funding line item for CE&I (including the testing and inspection of materials) must be included in the construction cost estimate. These costs shall not exceed 15% of the total project construction cost.

ALDOT will perform or oversee the CE&I, testing and inspection of materials on all projects, unless the local sponsor requests that they be allowed to perform the CE&I, inspection and testing at no cost to the projects.

Indirect costs for ATRIP-II projects can be established by ALDOT and may be added to projects as an eligible expense utilizing similar procedures for projects currently applying indirect costs.

G. MISCELLANEOUS PROVISIONS:

Larger, more comprehensive projects are allowed; however, such projects may need to be separated into manageable and logical phases for multi-year application and funding. Such project applications should be accompanied with an overall master plan, including a narrative explaining the entire scope of the project and how the entire project is to be separated into logical phases. Approval of one phase of a multi-phase project does not guarantee approval of any subsequent phase/phases by the ATRIP-II Committee.

For projects proposing to utilize financing in addition to ATRIP-II funds (such as Federal funds), all requirements necessary for the use of those funds must be followed and should be addressed in the application by the sponsoring local government agency, including any factors that may affect project timeframe and advancement requirements.

All applications that propose to install new traffic control signals must follow the Traffic Signal Warrant and Justification procedure as identified in the Alabama Department of Transportation Traffic Signal Design Guide and Timing Manual, latest edition. A copy of the warrant study/analysis must be included in the application. For proposed traffic control signals which do not satisfy a traffic signal warrant or warrants, an Agreement for the Installation, Operation, and/or Maintenance of Traffic Control Signals must be included in the application and be signed by the sponsor.

Once a project is approved by the ATRIP-II Committee, any costs incurred prior to issuance of written Notice to Proceed from ALDOT is ineligible.

ATRIP-II funds have reporting requirements. Recipients will be required to furnish all requested data to the ATRIP-II Committee, ALDOT or other specified or required entities necessary to satisfy any reporting obligations.

For approved projects, a kickoff meeting will be held with each ALDOT Region or Area office to discuss project details, funding agreement requirements and other issues necessary to begin advancement of the project.
Alabama Transportation Rehabilitation and Improvement Program-II

Fiscal Year 2022 Project Application

This document provides the format in which required information is to be included for all applications seeking consideration through the Alabama Transportation Rehabilitation and Improvement Program-II (ATRIP-II) in Fiscal Year (FY) 2022. Local public agency sponsors (Municipal and County Governments) may develop and prepare their own applications; however, the headings (A through P) shall be addressed in the application with supporting narratives and attachments as described below. Prospective applicants are encouraged to review the ATRIP-II FY 2022 Procedural Guidelines when considering the development of an application. Sponsors will be required to submit the application electronically to the ALDOT Local Transportation Bureau by the FY 2022 deadline of November 19, 2021.

The application should include the following information:

A. Sponsoring Agency (provide for each applicant, if multiple sponsors)

Sponsor Entity: ________________________________________
Mayor/Chairman: ________________________________________
Sponsor Contact: ________________________________________
Address: ________________________________________
Phone: ________________________________________
Email: ________________________________________

Project Manager: ________________________________________
Title: ________________________________________
Address: ________________________________________
Phone: ________________________________________
Email: ________________________________________

B. Describe in detail the proposed project improvements. Identify the points of origin, destination, intermediate points of access and any railroad crossings. If coordination with the railroad is required, provide the name of the railroad and a cost estimate for this item of work.

C. Describe the benefits provided to the traveling public, impacts to economic growth, promotion of public safety and overall stability to the State from the proposed project.

D. Identify the funding plan for advancing preliminary engineering (PE) for the proposed project (performed as part of the project cost or at no cost to the project) and the status of any coordination efforts through ALDOT related to the PE.

E. Identify any cultural or environmental resources potentially impacted by the project, include detailed time frames and costs associated with coordinating through the State Historic Preservation Office, U.S. Fish and Wildlife Service, U.S. Army Corps of Engineers, U.S. Coast Guard or any other effected resource agency.
F. Identify any potential bridge(s) to be impacted and data on existing structures, including bridge inventory number (BIN), posting status (if applicable) and most recent sufficiency score with date of last inspection. Provide a cost estimate associated with this item of work, if applicable.

G. Identify any potential new bridge(s) required as part of the proposed project and the status of the hydraulic analysis, if available. Provide a cost estimate associated with this item of work, if applicable.

H. Provide a narrative on the status of available right-of-way (ROW) to accommodate the proposed project, or if ROW acquisition (including easements/permitted work on property of another entity) may be required. Any potential costs should be provided in a detailed estimate included with this application.

I. Provide a detailed preliminary pay item estimate of the total project cost (utilizing ALDOT pay item numbers for the construction phase of work) in accordance with the *ATRIP-II FY 2022 Procedural Guidelines*. Include the amount of ATRIP-II funds requested (not to exceed $2,000,000.00 for FY 2022) and the amount of supplemental funds applied to the project. If the project sponsor is not proposing supplemental funding, an explanatory statement detailing why only ATRIP funds are being proposed to finance the project should be included.

J. Provide traffic data (including what year the data was acquired), truck percentage and any projected increase/change in traffic related to the proposed improvement.

K. Provide crash data and date of the information, if available.

L. Modifications to existing traffic signal(s) or inclusion of new traffic signal(s) must include a traffic signal study, warrant, and/or agreement as required per the ATRIP-II Procedural Guidelines.

M. Provide any documentation related to racial and ethnic diversity coordination.

N. Describe the life expectancy of the project. Identify the agency responsible for maintenance and the funding source providing for maintenance efforts.

O. Provide any additional comments the sponsor wishes to be considered, including any letters of support from elected officials, local agencies, or property owners.

P. Include any supporting photographs, maps, drawings, or plans necessary to support the project application (all in color and limited in size to 11” by 17”).

All applications **must be submitted electronically** no later than 5:00 pm on **November 19, 2021**. Applications should be sent to atrip2app@dot.state.al.us as a .pdf (25 mb max per email, multiple emails may be sent if necessary) with “FY 2022 ATRIP-II Application – Sponsor Name” in the subject line.

The office of Local Transportation Bureau (LTB) will send a confirmation email once an application is received. If you do not receive a confirmation, please contact LTB at 334-242-6020 to ensure receipt of the application.