Alabama Transportation Funding Guide

June 2022
GUIDE TO TRANSPORTATION FUNDING PROGRAMS OF INTEREST TO LOCAL GOVERNMENTS

In this document you will find information regarding state and federal programs that provide transportation project funding of interest to local governments and other entities. This information is intended to serve as a guide for preliminary funding searches. For more detail, we encourage you to contact each of the respective agencies and departments listed in this guide. In order to maintain this document as relevant and useful for its users, the funding guide is updated with some regularity in order to reflect new programs, deadlines, and other requirements. Please note the dated footer at the bottom of every page make sure you are working with the latest version of this document. This guide has been formulated and assembled with the help of the Alabama Department of Transportation Local Transportation Bureau and the Alabama Transportation Assistance Program (Alabama’s LTAP Center) within Auburn University.
**ALABAMA TRANSPORTATION REHABILITATION AND IMPROVEMENT PROGRAM - II**

Transportation projects of local interest on the state-maintained highway system, which may also include work on local roads essential to proper functioning of the project on the state road Created by the Rebuild Alabama Act 2019.

**ELIGIBILITY:**

Any local public agency, county or municipality, is eligible to submit an application. A sponsoring local government agency may not submit more than one project application per fiscal year. Once selected, a project must be awarded to contract before the local sponsor/sponsors become eligible for consideration by the ATRIP-II Committee for a subsequent project. Applications may be submitted jointly for projects of interest to more than one local government, and this shall be deemed as the eligible project for each sponsoring agency.

**APPLICATION DEADLINE: 4th Quarter 2021**

Applications shall be submitted to: ATRIP-II Project Application
ATTN: Bradley B. Lindsey, P.E.
1409 Coliseum Blvd
Montgomery, AL 36110

Applications will be accepted and reviewed by ALDOT for compliance with program requirements and guidelines. Projects will be awarded through a competitive section process by the ATRIP-II Committee.

**PROGRAM’S ANNUAL FUNDING LEVEL: $30M**

The total ATRIP-II funds to be allocated in FY 2021 is programmed to be $30,000,000, with a maximum funding of $2,000,000 to an individual project. Any cost exceeding the maximum amount allowable per project will be the responsibility of the project sponsor. Local or other funding sources to supplement ATRIP-II funds is not required, but projects containing a commitment to provide supplemental funding may be given favorable consideration by the ATRIP-II Committee. ATRIP-II funds are available for selected projects for a period of two (2) years from the notice of award letter.

**ALLOWABLE WORK PHASES:**

Preliminary Engineering, Right-of-way Acquisition, and Construction are all eligible phases of work. Utility relocation is not an eligible phase of work for ATRIP-II funding and is the responsibility of the sponsor. Eligibility and constructability of all proposed project activities and work phases will be evaluated by ALDOT prior to final consideration by the ATRIP-II Committee for funding. ATRIP-II funded projects will be bid and let to contract by ALDOT in accordance with applicable state laws.

**MORE INFORMATION:**

[https://www.dot.state.al.us/programs/ATRIPII.html](https://www.dot.state.al.us/programs/ATRIPII.html)
**LOCAL ROADS SAFETY INITIATIVE**

The Local Roads Safety Initiative (LRSI) is intended to help reduce high fatal and incapacitating injury crash rates by alleviating safety deficiencies on rural and urban non-State maintained roadways with significant safety risks. Any project intended to reduce crashes on roads with a significant safety risk are deemed eligible by federal regulation.

**ELIGIBILITY:**

Projects must be located on a locally owned public road and sponsors may submit more than one application. Projects including but not limited to general roadway safety projects, intersection improvements, traffic control devices, and unshielded bridge end upgrades will be considered.

Project applications will be accepted for projects designed to reduce the number of fatal and serious injury lane departure and run-off-road crashes and prioritized in descending order:

- Projects designed to reduce the potential of drivers leaving their lane.
- Projects designed to reduce the potential of a crash in the event a driver does leave their lane.
- Projects designed to minimize the severity of a crash if a crash does occur.

While the above project types will be prioritized in the FY 2023 LRSI, all projects meeting the LRSI eligibility requirements are eligible to be submitted and will be prioritized on their own merit.

Projects which utilize the FHWA Low-Cost Proven Safety Countermeasures are recommended, as well as projects focus on reducing high severity Rural Roadway Departure crashes. Rural Roadway Departure Crashes are any crashes initiated by the vehicle leaving its travel lane; this includes roadside collisions, rollover crashes, and centerline crossover head-on crashes. The following characteristics have the potential to significantly factor into the relative safety of a roadway, and the probability that lane departure crashes may occur:

- the presence of sloped pavement edge
- adequate curve signing
- proper cross-slope
- centerline and/or edge-line markings
- curve delineation
- centerline and/or edge-line or shoulder rumble strips
- paved shoulder width
- graded shoulder width
- adequate clear zones
- high traffic counts

**APPLICATION DEADLINE: July 15th, 2022**

Applications shall be submitted to: [LRSIapp@dot.state.al.us](mailto:LRSIapp@dot.state.al.us)

Electronic submission of applications should be attached to an email as PDF file (max. 25 MB) with the subject line of the email reading "FY 2023 LRSI Application - Sponsor Name". Applicants are to receive a confirmation e-mail once the submission has been received, in the case that applicant does not receive a confirmation e-mail please contact LeeAnn Williams at 334-242-6020 / [williamsle@dot.state.al.us](mailto:williamsle@dot.state.al.us) for a receipt of the application and in the case of questions.

**PROGRAM’S ANNUAL FUNDING LEVEL FY 2023: $5.0 M**

The total LRSI funds to be allocated in FY 2023 is programmed to be $5.0 M. LRSI projects shall have a 90% Federal and 10% local split. Any contract overruns will be the responsibility of the local governmental agency. LRSI funding must be authorized by May 1, 2023, or funding will be rescinded if necessary.

**ALLOWABLE WORK PHASES:**

Projects involving right-of-way acquisition will not be eligible. Projects that have minor utility relocations are eligible if included as part of construction contract let through state services. The scope and estimate for utility relocations must be included in the project application. Note that utility relocation will not be eligible for projects utilizing force account work.

**MORE INFORMATION:**

[https://www.dot.state.al.us/programs/HSIP.html](https://www.dot.state.al.us/programs/HSIP.html)
**TRANSPORTATION ALTERNATIVE PROGRAM**

The Transportation Alternative Set-Aside Program (TAP) is intended to provide safe routes for pedestrians and other non-motorized forms of transportation. These can include sidewalks, bicycle infrastructure, pedestrian and bicycle signals, traffic calming techniques, lighting, safety-related infrastructure, as well as projects to achieve compliance with the Americans with Disabilities Act of 1990.

**ELIGIBILITY:**

Local governments, regional transportation authorities, transit agencies, natural resource or public land agencies, school districts, tribal governments, nonprofit entities responsible for the administration of local transportation safety programs, and other local or regional governmental entities with the responsibility for, or oversight of, transportation or recreational trails are all eligible to submit applications for TAP funding.

**APPLICATION DEADLINE:** June 30th, 2022

Applications shall be submitted to: [tapapp@dot.state.al.us](mailto:tapapp@dot.state.al.us)

All applications must be submitted electronically no later than 5:00 pm on June 30, 2022. Applications should be sent as a .pdf file (max. 25 MB) with “FY 2023 TAP Application – Sponsor Name” in the subject line.

**PROJECT SELECTION:**

TAP funds are awarded through an ALDOT administered competitive selection process. The purpose and intent of this program is to provide new “Transportation Alternatives” to communities, as well as enhance existing non-motorized transportation infrastructure. Priority will be given to applications that promote safety, increase transportation options, provides community enhancements, local commitment, ADA compliance upgrades, improves transportation options for under-served communities.

**PROGRAM’S FUNDING LEVEL:**

The total Federal participating amount is $1,000,000 ($800,000 Federal and $200,000 sponsor match) to be allocated in FY 2023 to an individual project. Any cost that exceeds the maximum amount allowable will be the responsibility of the project sponsor. TAP funds are available for 2 years from the notice of award.

**ALLOWABLE WORK PHASES:**

Applications involving right-of-way and utility relocations will generally not be considered. Minor, non-adverse ROW acquisitions and utility relocations that are incidental (not a significant item or cost) may be considered.

**MORE INFORMATION:**

[https://www.dot.state.al.us/programs/TAP.html](https://www.dot.state.al.us/programs/TAP.html)
The Rebuild Alabama Act (RAA) Annual Grant Program is an ALDOT administered transportation infrastructure grant program for projects of local interest created in the Rebuild Alabama Act of 2019.

**ELIGIBILITY:**
Any local public agency, county or municipality, is eligible to submit an application. Project applications may be submitted for improvements to any classified public road or bridge owned and maintained by the applicant that is open to public traffic. A sponsoring agency may only submit one project application per application cycle. Each county and municipality will be viewed as an individual sponsor, any application submitted by multiple sponsors will be deemed as the eligible submission for all sponsors.

**APPLICATION DEADLINE:** 4th Quarter 2021

Applications shall be submitted to:

Rebuild Alabama Annual Grant Application
ATTN: James Boyer, P.E.
1409 Coliseum Blvd
Montgomery, AL 36110

**PROGRAM’S ANNUAL FUNDING LEVEL:** $10M

The total funding to be allocated per year is $10,000,000, with a maximum funding of $250,000 to an individual project. Any overrun in total project costs above the amount awarded, shall be the responsibility of the sponsoring agency. Other funding sources to supplement RAA Annual Grant Program funds are not required, but projects containing a commitment to provide supplemental funding may be given favorable consideration. Annual Grant funds are available for selected projects for 1 year from the notice of award letter.

**ALLOWABLE WORK PHASES:**

Program funding is only available for eligible construction activities. No preliminary engineering, right-of-way acquisition, utility relocation, construction engineering and inspection or materials testing costs will be eligible.

**MORE INFORMATION:**

https://www.dot.state.al.us/programs/RAAGrantProgram.html
**ALABAMA HIGHWAY SAFETY IMPROVEMENT PROGRAM**

The Highway Safety Improvement Program (HSIP) is a core Federal-aid program with the purpose to achieve a significant reduction in traffic fatalities and serious injuries on all public roads, including non-State-owned roads and roads on tribal land. The HSIP requires a data-driven, strategic approach to improving highway safety on all public roads with a focus on performance.

Contact ALDOT’s Operations and Safety Office for most up to date application and program files. It is highly recommended that the sponsor coordinate with the appropriate ALDOT Region in regard to funding priorities within the Region.

**ELIGIBILITY:**

The program is open to all local and state public agencies. The proposed project must meet the following criteria:

- The proposed project must address a stated goal(s) of the Alabama Strategic Highway Safety Plan, including the reduction of crashes, fatalities, injuries or property damage in support of the State’s established safety performance measures.
- There must be a documented description of the safety issue(s) along with supporting data and quantitative and/or qualitative information on the proposed safety countermeasures.
- A project sponsor(s) must be identified who is willing to implement the project according to federal and ALDOT guidance and requirements.

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<tr>
<th>APPLICATION DEADLINE:</th>
<th>January 1&lt;sup&gt;st&lt;/sup&gt;</th>
<th>April 1&lt;sup&gt;st&lt;/sup&gt;</th>
<th>July 1&lt;sup&gt;st&lt;/sup&gt;</th>
<th>October 1&lt;sup&gt;st&lt;/sup&gt;</th>
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**APPLICATION SUBMISSION:** Shall be made to the respective Area / Region Local Transportation Engineer:

ALDOT Directory - [https://www.dot.state.al.us/about/offices.html](https://www.dot.state.al.us/about/offices.html)

**PROGRAM'S ANNUAL FUNDING LEVEL FY 2021:** $15 M

Annual funding is statewide for both ALDOT and local agencies.

**APPLICATION TIMELINE:**

Completed applications should be submitted to the ALDOT Area/Region Local Transportation Engineer for review and forwarding. It is recommended that the applications be sent to the Local Transportation Engineer no later than one month prior to the application deadline to allow for review and comments prior to submittal to our office. Applications are reviewed and awarded one month from the deadline date.

**MORE INFORMATION:**

[https://www.dot.state.al.us/programs/HSIP.html](https://www.dot.state.al.us/programs/HSIP.html)
LAND AND WATER CONSERVATION FUND

The Land and Water Conservation Fund (LWCF) State Assistance Program was established by the LWCF Act of 1965 to stimulate a nationwide action program to assist in preserving, developing, and assuring to all citizens of the United States of present and future generations such quality and quantity of outdoor recreation resources as may be available and are necessary and desirable for individual active participation. The Program provides matching grants to States and through States to local units of government, for the acquisition and development of public outdoor recreation sites and facilities.

ELIGIBILITY:

All political subdivisions of the state are eligible to participate in the LWCF Program. As such, municipalities, counties, state agencies, and state authorities created by the legislature may apply for LWCF assistance. Eligible projects must meet the following criteria:

- The need for the facility/activity must be documented in Alabama’s Statewide Comprehensive Outdoor Recreation Plan (SCORP).
- The property to be developed must be owned by an eligible applicant.
- The applicant must agree to manage and operate the LWCF assisted site for public outdoor recreation in perpetuity.
- Existing outdoor recreation areas owned and operated by the applicant must be well maintained.
- The applicant must agree to comply with all other laws, rules, and regulations associated with the LWCF program.

APPLICATION DEADLINE: TBD

Applications shall be submitted to:

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<tr>
<th>ADECA</th>
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<tr>
<td>ATTN: William B. Robbins</td>
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<tr>
<td>Post Office Box 5690</td>
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<tr>
<td>Montgomery, AL 36103-5690</td>
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APPLICATION TIMELINE:

Applicant must attend an application workshop organized by ADECA’s Community and Economic Development Division. For more information regarding funding windows and available workshops dates, contact the Community and Economic Development Division.

PROGRAM’S ANNUAL FUNDING LEVEL FY 2021: $2.5M (2019)

The LWCF is a federal 50/50 match grant for acquisition and development of public outdoor recreation resources. The state acts as a pass-through agency for the US Department of Interior/National Park Service for this program. The maximum grant amount is $350,000.00 (2019). An applicant does not have to request the entire grant amount and ADECA may provide partial funding for projects if necessary. A minimum grant amount of $50,000.00 (2019) is required for recreation areas that have never received LWCF assistance.

ALLOWABLE PHASES

LWCF assistance can be used to acquire land and water interests for park purposes, develop new outdoor recreation facilities, and in certain instances, renovate existing recreation facilities. Virtually all public outdoor recreation activities are eligible for assistance under the Program including playgrounds, ball fields, (including lights – concrete or metal poles only), court sports, picnic areas, camping areas, trails, splash pads, etc. In addition, support facilities such as concession stands, restrooms, park access roads, parking areas, utilities, and site preparation necessary to make a recreation activity area usable may qualify for assistance. Please note, applications to develop elaborate, tournament only, or professional sports facilities are not eligible and will not be considered for funding.

MORE INFORMATION:

https://adeca.alabama.gov/lwcf/
**RECREATIONAL TRAILS PROGRAM**

The Recreational Trails Program (RTP) was created in 1998 and is funded through the U.S. Department of Transportation, Federal Highway Administration. The Department of Economic and Community Affairs is the state agency responsible for administering the program in Alabama. The program provides grant assistance to state and federal agencies and local units of government for the acquisition and/or development/improvement of recreational trails and trail related resources.

**ELIGIBILITY:**

All applicants must be currently registered in [SAM.gov](https://www.sam.gov) with a unique Data Universal Numbering System (DUNS) number. Prospective applicants may not have an open Land and Water Conservation Fund (LWCF) or RTP grant when the applications are due. The applicants must have no unresolved compliance issues from a previous LWCF or RTP grant. Eligible applicants include local units of governments and state and federal agencies. Eligible projects include:

- Development of urban trail linkages (includes trail linkages to schools, parks, and existing trails)
- Maintenance and restoration of existing recreational trails
- Provision of features which facilitate the access and use of trails by persons with disabilities.
- Acquisition of easements for recreational trails or recreational trails corridors
- Acquisition of fee simple title to property from a willing seller for trail development
- Construction of new recreational trails
- Assessment of trail conditions for accessibility and maintenance
- Development and dissemination of publications and operation of educational programs to promote safety and environmental protection.

**APPLICATION DEADLINE: TBD**

Applications shall be submitted to:

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<th>ADECA</th>
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<tr>
<td>ATTN: LaToya Edwards</td>
<td>ATTN: LaToya Edwards</td>
</tr>
<tr>
<td>401 Adams Avenue, Suite 524</td>
<td>Post Office Box 5690</td>
</tr>
<tr>
<td>Montgomery, AL 36104</td>
<td>Montgomery, AL 36103-5690</td>
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Electronic applications: [latoya.edwards@adeca.alabama.gov](mailto:latoya.edwards@adeca.alabama.gov)

One copy of the application must be submitted by mail or e-mail to the addresses included above. Maps must be no larger than 11”x17”. Applications must be received by the Community and Economic Development Division no later than the time and date TBD.

**PROGRAM’S ANNUAL FUNDING LEVEL FY 2022: $1.75 M**

The Federal share of the program is up to 80% of total project costs up to the grant ceiling associated with the type of trail and/or trail related facility. The project sponsor must provide at least 20% match in the form of State, local, or private sources, and may include volunteer labor and/or donated materials and/or services.

**APPLICATION TIMELINE**

Applications for funding are accepted Oct 2021 - Jan 2022. Applications are reviewed by the Recreation and Conservation Programs staff and the Alabama Recreational Trails Advisory Board within the Jan – Apr 2022 timeframe. Applications are then ranked based on evaluation criterion and site inspections. Recommended project applications are forwarded to the Federal Highway Administration for review and approval May - Jul 2022. Notification of awards Sep - Oct 2022.

**MORE INFORMATION:**

[https://adeca.alabama.gov/trails/](https://adeca.alabama.gov/trails/)
# GRADE CROSSING HAZARD ELIMINATION

The purpose of the ALDOT Rail-Highway Safety Programs (Rail Programs) Hazard Elimination Application is to strategically provide an opportunity for local agencies, road authorities, ALDOT Region and Area Offices, and railroad companies to identify crossing locations that demonstrate a significant hazard to the traveling public and request funds for rail safety improvements. The application is a parallel program option to the Priority Ranking List. The application process will aid in prioritizing locations with hazards identified. The funding shall be administered in accordance with all applicable state and federal laws, regulations, policies, and procedures including executive orders. Submission of an application does not guarantee or constitute selection or funding from the program.

## ELIGIBILITY:

This section provides guidance for eligible work and impacts that qualify for the elimination hazards funding. All works are subject to approval. Eligible work includes but is not limited to:

- Improvements at public grade crossings to eliminate hazards posed by blocked crossing due to idling trains.
- Crossing consolidation, elimination, relocation of roadway to eliminate a grade crossing.
- Installation or upgrade of active or passive warning devices at crossings to eliminate hazards.
- Road improvements such as: installation of median barriers at crossings, road geometry to improve approach grades, etc.
- Eliminating hazards through corridor approach by addressing safety improvements at multiple crossings along the same segment of rail tracks to include crossing consolidation or eliminations (a corridor shall include a minimum of 5 crossings).

Eligibility is subject to change, reference application guidelines for additional information and details.

## APPLICATION DEADLINE:

Applications are accepted annually during the application acceptance period. Visit our website for application and submittal deadlines. All completed applications shall be submitted electronically or furnished on digital storage media, such as USB flash drive (any submissions presented in any other form will be rejected).

Applications shall be submitted to:  
Alabama Department of Transportation  
Attn: Rail-Highway Programs Group (DESIGN)  
1409 Coliseum Boulevard  
Montgomery, AL 36110  
aldotrail@dot.state.al.us

## PROGRAM’S ANNUAL FUNDING LEVEL:

Funding under this program is subject to both availability and eligibility of Federal funds in accordance with 23 CFR 130 (f)(3) and availability of federal funding obligation authority within the ALDOT. In accordance with 23 CFR 130(f)(3), federal funding will account for a maximum of 90% of the project total cost for Section 130 Rail Safety Program grade crossing safety improvements. A minimum 10% non-federal funding match of the project total cost is required. Reference the application guidelines for additional funding information.

## APPLICATION TIMELINE:

This information is provided as a guide and is subject to change without notice.

<table>
<thead>
<tr>
<th>Date Range</th>
<th>Activity Description</th>
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<tr>
<td>July – August</td>
<td>Application acceptance</td>
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<tr>
<td>September – October</td>
<td>Application review, evaluation, and selection period</td>
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<tr>
<td>October – November</td>
<td>Prioritize applications and identify field diagnostic locations</td>
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<tr>
<td>November – March</td>
<td>Conduct diagnostic reviews</td>
</tr>
<tr>
<td>April – May</td>
<td>Develop projects for each location to be funded</td>
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<td></td>
<td>Finalize and submit projects for authorization</td>
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</table>

## MORE INFORMATION:

https://www.dot.state.al.us/programs/railHighway.html
### SCRAP TIRE MARKETING PROGRAM

The Scrap Tire Marketing Program’s goal is to promote the growth of scrap tire markets and beneficial end-use. The Scrap Tire Environmental Fee funds and promotes the development of scrap tire markets. Grants are available for projects such as recycled tire mulch in school or city park playgrounds, recycled tire walking tracks, and recycled tire splash pads. Scrap tire material used in civil engineering applications is considered an engineered use. Engineered uses must generally comply with the latest edition of “ASTM Standard Practice for Use of Scrap Tires in Civil Engineering Applications” (D 6270-98). Engineered uses must have written approval by the Alabama Department of Environmental Management before proceeding.

### ELIGIBILITY:

Eligibility for funding is limited to local governments and local governmental non-profits only. Other entities should coordinate with local governments for the potential funding of projects. The applicable laws are in the Alabama Scrap Tire Environmental Quality Act, Alabama Code §§ 22-40A-13 (2015) and in ADEM Administrative Code Div. 335-4-4-.03.

### APPLICATION:

Two copies of the application, with original signatures shall be submitted to:

| Alabama Department of Environmental Management Land Division P. O. Box 301463 Montgomery, AL 36130-1463 |

For additional questions regarding the application, please contact Adam Rhodes ARhodes@adem.alabama.gov

### ALLOWABLE PHASES:

Approved beneficial end-uses include but are not limited to:

- Solid Waste Disposal Facility
  - Leachate collection systems
  - Gas collection systems
  - Drainage layer in final cover for closure
- Public Works
  - Embankments and retaining walls
  - Subgrade beneath pavement
  - Rubber-modified asphalt
- Other
  - Aggregate in septic drain fields
  - Groundwater/surface water diversion systems
  - Rubber mulch in landscaping
  - Playground cover
  - Any other uses approved by ADEM

Stipulations for the use of tire material include but are not limited to the following:

- Tire material cannot be placed in or released into waters of the state.
- The use must not be detrimental to human health, public safety, or the environment.
- Use must not constitute disposal and must conform to all other ADEM regulations.
- Tire material must not be used in a layer thicker than nine feet.
- All other required permits and approvals must be obtained before submission to ADEM for approval. Approval by ADEM does not infer approval by other agencies who may have regulations or other requirements for specific uses.

### MORE INFORMATION:

http://adem.alabama.gov/programs/land/scrapTire.cnt